

APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PW 316313
(M#)

Invention: INTEGRATED OPTICS ARTIFICIAL CLADDING GRATING WITH A COUPLING
VARIATION AND ITS REALISATION METHOD

Inventor (s): Christophe MARTINEZ

Pillsbury Winthrop Shaw Pittman LLP
Intellectual Property Group
P.O. Box 10500
McLean, VA 22102-4859

Attorneys
Telephone: (703) 770-7900

This is a:

- ☐ Provisional Application
- ☐ Regular Utility Application
- ☐ Continuing Application
 - ☒ The contents of the parent are incorporated by reference
- ☐ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
 - Sub. Spec Filed _____
 - in App. No. _____
- ☒ Marked up Specification re
 - Sub. Spec. filed March 15, 2006
 - In App. No. 10/538,140

SPECIFICATION

INTEGRATED OPTICS ARTIFICIAL CLADDING GRATING
WITH A COUPLING VARIATION AND ITS REALISATION METHOD

TECHNICAL FIELD

The invention relates to an integrated optics artificial cladding grating, with coupling variation and ~~its creation process~~ a method of manufacturing the same.

5 ~~By artificial cladding grating (ACG) we mean a zone of interaction created in a substrate, this zone of interaction comprising a core created in the substrate, a cladding created artificially in the substrate independently of the core and a grating. The grating is capable of coupling the core mode(s) to~~
10 ~~one or more cladding modes and vice versa.~~

~~The invention has applications in all fields requiring in particular spectral filtering. It particularly applies to the manufacture of gain flatteners for optical amplifiers used for example in the telecommunications field or even for making linear~~
15 ~~response filters with a wavelength on a spectral band defined for spectral recognition, in particular for measuring spectral offsets from power variation for example in the field of sensors.~~

~~Generally, the invention is particularly well suited to all systems requiring the use of spectral response filtering adapted~~
20 ~~to a specific requirement, this type of filtering generally requiring the development of an advanced filter.~~

STATE OF THE PRIOR ART

BACKGROUND

25 The use of optical grating is known in the field of optical fibres.

In this field, the optical cladding usually surrounds the fibre core and has a refractive index lower than that of the core to allow a light wave to spread in the core. Conjointly, the optical
30 cladding permits the core to be held mechanically. The core of a fibre ~~cannot~~ may not exist without the cladding.

Furthermore, the optical grating made in the fibre ~~permits~~may
permit one or more guided modes in the core of a fibre to be coupled
to the fibre cladding mode(s) and vice versa. This grating is
generally formed in the fibre core.

5 To vary the coupling of this type of grating, ~~it is known that~~
the size of the cladding ~~can~~may be modified in order to modify the
effective index of the guided mode(s). ~~We can refer~~ (See, for
example ~~to the patent US 5,420,948.~~, U.S. Patent No. 5,420,948).

10 However, making cladding of variable ~~is~~size may be complex.
~~In particular, it calls on laser~~Laser exposure techniques,
stretching of the fibre or chemical etching, ~~thus making~~are
generally used to make such a cladding. However, these processes
may render the final component fragile.

15 ~~In figure 1, there is~~Figure 1 shows a cross sectional view
of ~~containing the direction z~~such an optical fibre. In Figure 1,
the light wave spreads in, ~~such an optical fibre.~~ the z direction.
This fibre is composed of a core 9 and cladding 11. The cladding
has a first taper 11a in which a grating 13 is positioned. The
narrowing of the cladding varies the effective index along the
20 length of the grating, which creates a "chirp" on the grating, which
is to say a variation of the resonance wavelength along the grating.

The cladding then has a narrower zone 11b that has a consistent
sized cross section, then a wider zone 11c permitting the narrower
section of the cladding to be adapted to its normal section.

25 Modulating the size of the cladding ~~is~~may be obtained in ~~this~~
~~ease~~Figure 1 by chemical attack or stretching fusion of the fibre.

In addition to the mechanical difficulties, the fibre core
~~cannot~~may not exist without the optical cladding, ~~this.~~ This
dependence ~~limits~~may limit the possibilities of changing the
30 cladding parameters, gratings and solutions for design,
architecture and integration of the gratings in complex systems.

DESCRIPTION OF THE INVENTION

SUMMARY

35 ~~The purpose~~Embodiments of ~~this~~the invention ~~is to~~
~~propose~~include an integrated optics artificial cladding grating,

with a coupling variation and ~~its creation process.~~ The use of cladding according to the invention permitting the difficulties of the prior art to be overcome by offering on the one hand more possibilities in making this variation and on the other hand a structure that is not fragile. a method of manufacturing such a grating. The cladding according to embodiments of the invention includes a robust structure and may provide more coupling variation possibilities.

An artificial cladding grating (ACG) as used herein refers to a zone of interaction created in a substrate, this zone of interaction comprising a core created in the substrate, a cladding created artificially in the substrate independently of the core and a grating. The grating may be capable of coupling the core mode(s) to one or more cladding modes and vice versa.

Embodiments of the invention have applications in all fields in which spectral filtering may be needed. For example, embodiments of the invention may be used for the manufacture of gain flatteners for optical amplifiers used, for example, in the telecommunications field. As another example, embodiments of the invention may be used for making linear response filters with a wavelength on a spectral band defined for spectral recognition, in particular for measuring spectral offsets from power variation, for example, in the field of sensors.

Generally, the invention may particularly be well suited to all systems in which the use of spectral response filtering adapted to a specific requirement may be needed, this type of filtering generally requiring the development of an advanced filter.

~~One purpose~~In an embodiment of the invention, there is to provide an artificial cladding grating, wherein the optical cladding ~~being~~is independent from the guide core to which it is associated. By independence of the core and the cladding, ~~we mean it is meant that they can~~the core and the cladding may exist in a substrate independently from one another. In other words, the core ~~can~~may exist without the cladding and the cladding ~~can~~may exist without the core.

~~More precisely, integrated optics~~In an embodiment of the invention, an artificial cladding grating of the invention comprises in component for use in integrated optics, includes a substrate, an optical guide core, an optical cladding formed in
5 the substrate, the optical cladding being independent of the core and surrounding at least a portion of the core in, the optical guide core and the optical cladding forming a zone of interaction in the substrate called the zone of interaction, comprising a grating capable of coupling at least one guided mode of the core to at least
10 one, and a grating formed in the zone of interaction and constructed and arranged to couple a guided mode of the core to a cladding mode or vice versa, the said zone of interaction comprising a. The zone of interaction is configured to provide coupling variation between the guided mode of the core and the cladding mode along the
15 propagation direction of propagation of the modes, and the refractive index of the cladding being is different from the refractive index of the substrate and lower than the refractive index of the core in at least in the part of the cladding next to the core in the interaction zone.

20 By surrounding, it is meant that the fundamental mode profile of the core guide has a maximum that is included in the index profile of the cladding. Thus, the profile of the fundamental mode of the core may be completely or partially included in the index profile of the cladding, which at structural level leads to a core situated
25 anywhere ~~at all~~ in the cladding, including at its periphery, in which case the core may be partially outside of the cladding.

CouplingThe coupling between the modes generated by the grating ~~has~~includes two main characteristics: the coupling wavelength and the coupling force. ~~Advantageously, it is or~~
30 coupling efficiency. In an embodiment of the invention, these characteristics for which the variations are made may be changed.

Thus, according to an embodiment of the invention, the coupling variation along the propagation direction of the modes may be a variation of the coupling force (or coupling efficiency)
35 and/or of the coupling wavelength. This variation is such that

it ~~permits~~may permit desired luminous spectra to be obtained at the output of the zone of interaction in the cladding and/or in the core.

5 This coupling variation may thus ~~permits~~permit the use of the artificial cladding grating of the invention in a large number of components, taking into account that the coupling may thus be adapted to the desired application.

Different embodiments of this variation, which may be combined with one another, may be envisaged.

10 According to a first embodiment, the coupling variation of the artificial cladding grating is obtained by modulation of the section of the cladding in the interaction zone.

According to a second embodiment, the coupling variation of the artificial cladding grating is obtained by variation of the
15 ~~centering~~centering of the core with respect to the section of the cladding. In fact, it ~~is~~may be possible to change the relative position of the core with respect to the cladding or the cladding with respect to the core.

The coupling by a grating between different modes takes place
20 for determined wavelengths λ_j defined by the following known relation:

$$\lambda_j = \Lambda \times (n_0 - n_j) \quad (1)$$

25 where:

- n_0 is the effective index of the guided mode 0 in the core,
- n_j is the effective index of the cladding mode number j ,
- λ_j is the resonance wavelength for the coupling in mode j ,

and

30 - Λ is the grating period.

This coupling ~~is translated by~~causes an energy transfer between the guided mode of the core and the cladding mode(s) for the central wavelength λ_j or vice versa. The energy coupled in the

cladding modes ~~is~~may then be guided in the cladding, ~~the~~. The same
logieapproach may be applied for the coupled mode in the core.

~~The modification of λ_j therefore passes via~~may be modified by
setting the parameters of Λ and/or the distribution of the
5 effective indices of the different modes.

Furthermore, the efficiency of the coupling between the modes
depends on the length of the grating and the coupling coefficient
 K_{0j} between the modes 0 and j . This coefficient is given by the
spatial recovery integral of the modes 0 and j , weighted by the
10 index profile induced by the grating. ~~We therefore have a~~ The
following relationship ~~of the type~~may be obtained:

$$K_{0j} \propto \iint \xi_0 \cdot \xi_j^* \cdot \Delta n \, ds \quad (2)$$

15 where:

- ξ_0 and ξ_j are the transversal profiles of the modes 0 and
 j and ξ_j^* the complex conjugate of ξ_j ,

- Δn is the amplitude of the effective index modulation
induced by the grating in a plane perpendicular to the direction
20 of propagation of the modes, and

- ds is an integration element in a plane perpendicular
to the direction of propagation of the modes.

~~The modification of is obtained~~ K_{0j} may be modified by varying
the profile of the modes and/or the index profile induced by the
25 grating, ~~in~~. In other words, K_{0j} may be modified by varying ~~in~~
~~particular~~ the opto-geometrical characteristics of the cladding.

~~As concerns~~ The larger the cladding, ~~the larger its~~ dimensions
and index level are, the more cladding modes will be accepted for
propagation and the more filtering spectral bands will be possible.
30 This may be ~~an advantage if~~ beneficial when searching for multiple
filtering or to have more leeway when choosing a filtering mode.

~~If searching~~In order to limit the number of cladding modes that can be coupled, it ~~is on the contrary~~may be useful to reduce the opto-geometrical dimensions of the cladding.

~~At core level, its~~The dimensions and index level of the core
5 may condition the characteristics of the ~~mode-propagating-~~ mode.
Furthermore, the larger the index differences between the core, the cladding and the substrate, the ~~higher~~greater the chance of potentially having couplings for low grating periods, as shown by the equation (1) (at a given resonance wavelength, the period is
10 inversely related to the index difference between the guided mode of the core and the cladding mode).

By modifying the position of the core, the grating and the cladding, it ~~is~~may be possible to generate different couplings.
~~In fact, we~~ As can ~~clearly see from the~~ be seen in equation (2)
15 ~~that,~~ the coupling force (or coupling efficiency) depends on the relative position, in the plane transversal to the direction of propagation of the profiles of the cladding mode, of the guided mode in the core and the grating.

As the parameters related to the grating ~~are~~may be more
20 difficult to control than those related to the cladding, ~~we choose~~it may be beneficial to create ~~advantageously~~ a grating with a consistent pattern of period and/or amplitude and modify the other coupling parameters such as the opto-geometrical dimensions of the cladding and the core decentration.

25 ~~In fact, as concerns~~With respect to the decentration of the core, it will be appreciated that if the core mode and the cladding mode as well as Δn have symmetrical profiles, the coupling coefficient is generally not zero. In this case, it can be shown that a decentration of the core with respect to the cladding only
30 slightly changes the value of K.

If, on the other hand ~~we consider,~~ a coupling ~~with~~between a symmetrical fundamental mode ~~with~~and a non-symmetrical fundamental mode occurs, the recovery integral is nil. In this case, the presence of a decentration between the core and the guide
35 increases K. It ~~is~~may then be shown that this variation of K depends

on the decentration δx ~~but only~~. However, this variation of K slightly depends on the variation of the size of the cladding.

Moreover, ~~creating it will be appreciated that creation of the~~ integrated optics artificial cladding grating enables the cladding
5 to be obtained ~~advantageously by~~ modification of the refractive index of the substrate, in particular by implantation or ionic exchange. Consequently, the desired form of the cladding may be obtained without conventional etching or stretching as in the prior art, but, for example, with a mask with including a suitable
10 pattern.

~~The solution of the invention thus offers practical creating advantages (in particular simplicity and strength).~~

As a result, manufacturing of the component is simpler and a robust component may be obtained.

Furthermore, it will be appreciated that the cladding and the core may exist independently from one another in the substrate, ~~which is not the case in the prior art~~. This ~~independence makes possible, in turn, provides~~ more flexibility when creating the ~~final component of the invention~~ and easier integration of this
20 component in a complex architecture. In particular, it will be appreciated that the core may no longer be situated in the cladding outside of the zones of interaction, but solely in the substrate, which permits ~~the optical~~ isolation of the core. In this way, the cladding may only act on the propagation of a light wave in
25 the associated guide core in the part surrounding the core ~~and~~. As a result, the cladding ~~can~~ may guide or transport light waves independently of the core. This independence between the core and the cladding may also permit a greater number of combinations to be created by varying not only the size of the
30 cladding but also the position of the core in the cladding.

~~The grating~~ In an embodiment of the invention, the grating formed in the interaction zone, may comprise one or more elementary gratings. By elementary grating ~~we mean, it is meant~~ a grating ~~of which all the~~ having substantial constant structural parameters
35 are constant.

~~The~~In an embodiment, the grating may be made by direct disturbance of the guide core, for example, by segmentation of the core and/or by variation of the core section. The grating may also be obtained by indirect disturbance of the core, such as surface etching of the substrate, segmentation of the cladding and /or variation of the cladding section.~~These~~ It will be appreciated that these different embodiments may be combined with one another.

Consequently, apodised or chirped type gratings may thus be made.

The substrate may ~~of course~~ be made from a single material or by superposition of several layers of materials. In the latter case, the refractive index of the cladding is different ~~to~~from the refractive index of the substrate at least ~~as concerns~~with respect to the neighbouring neighboring layers of the cladding.

~~Advantageously~~In an embodiment, the cladding has a refractive index higher than that of the substrate.

According to an embodiment of the invention, the guide may be a planar guide, when the confinement of the light takes place in a plane comprising the direction of propagation of the light ~~or~~. Alternatively, the guide may be a microguide, when the confinement of the light takes place in two directions transversal to the direction of propagation of the light.

According to an embodiment of the invention, a light wave introduced in the core of an artificial cladding grating is filtered in the ~~said zone~~. In fact, one zone of interaction. One or more guided modes of the light wave introduced in the core ~~are~~may be coupled in the zone of interaction, by the grating, to one or more cladding modes associated to this zone, for wave lengths λ_j defined in the relationship (1). The coupled part of the light wave in the one or more cladding modes may be recovered or not when it leaves the cladding and the non-coupled part of the wave continues to be transported by the core at the output of the interaction zone. ~~The said~~ core may be connected to an optical component. The same ~~logic~~approach may be applied when the light wave is introduced in the cladding.

The artificial cladding grating of embodiments of the invention ~~applies in particular~~ may be used to the manufacture of a gain flattener. In this case, it is desirable that the coupling variation ~~must be~~ such that a light wave comprising several
5 spectral bands of different amplitudes, after passing through the ~~said~~ zone of interaction is transformed into a light wave whose spectral bands all have more or less the same amplitude.

By spectral band, it is meant a band with a set of wavelengths with a determined central wavelength and bandwidth, a light wave
10 being able to comprise one or more spectral bands .

The use of such a component ~~is~~ may be of particular interest in an optical amplifier, in order to recover at the amplifier output a light wave whose spectral bands all have the same amplitude.

The artificial cladding grating of the invention may also
15 ~~applies in particular~~ be used to the manufacture of a linear filter. In fact, a linear filter is a filtering component whose spectral transfer function is linear with respect to the wavelength. The use of such a component permits for example to ~~stabilise~~ stabilize the frequency of a laser source. In particular, ~~the passage of when~~
20 a laser signal with a narrow spectral band around a central wavelength λ_0 ~~by~~ is transmitted through a suitable filter made according to an embodiment of the invention ~~provides in output~~ the filter outputs a signal proportional to ~~this~~ the wavelength:
 $T(\lambda_0) = a\lambda_0 + \beta$ where β is a constant. The slightest spectral offset
25 in either direction of the spectrum may then ~~creates~~ create a drop or an increase in the output signal. ~~We can therefore create a~~ A servo control for this output signal to a laser control acting on the spectral position of the emission may be created and ~~thus~~
~~stabilise the source. The stabilisation of the laser source~~
30 ~~therefore only requires an~~ the source may thus be stabilized. An artificial cladding grating and a photo-detector, a may be used to stabilize the laser source. A spectrum analyser ~~analyzer~~ is no longer of use.

According to one ~~preferred~~ embodiment, the cladding and/or
35 the guide core and/or the grating may be made ~~by all types of~~ using

technique permitting the refractive index of the substrate to be modified. ~~We can mention in particular the~~ For example, ion exchanges techniques, ionic implantation and/or radiation ~~for example, e.g.,~~ by laser exposure or laser photo inscription (the radiation produces local heating) or even depositing of layers, may be used.

The ion exchange technology in glass ~~is~~ may be of particular interest ~~but.~~ However, it will be appreciated that other substrates than glass may ~~of course be~~ used such as, for example, crystalline substrates of the KTP or LiNbO₃ types, or even LiTaO₃.

More generally, the grating may be made using any techniques permitting the effective index of the substrate to be changed. In addition to the techniques already mentioned, ~~we can therefore add in particular the~~ substrate etching techniques for making gratings ~~by etching the substrate. This~~ may also be used. Such etching may be carried out above the cladding or in the portion of cladding of the zone of interaction and/or in the core portion of the interaction zone.

The grating pattern may be obtained either by laser sweeping in the case of radiation being used, or by a mask. The latter may be the mask, which permits the core and/or the cladding to be obtained, or a specific mask to make the grating.

~~The~~ In an embodiment of the invention also relates to, there is provided a process for making an artificial cladding grating as previously defined, the cladding, the guide core and the grating being made respectively by ~~modification of~~ modifying the refractive index of the substrate so that at least in this part of the cladding next to the core and at least in the interaction zone, the refractive index of the cladding is different from the refractive index of the substrate and lower than the refractive index of the core, so that this zone of interaction has a coupling variation along the direction of propagation of the modes.

According to one ~~preferred~~ embodiment, the process of the invention comprises the following ~~steps~~ acts:

a) introduction of a first ionic species in the substrate so as to permit the optical cladding to be obtained after ~~step~~act c) (i.e., the burying),

b) introduction of a second ionic species in the substrate so as to permit the guide core to be obtained after ~~step~~act c),

c) ~~burying of~~ the ions introduced in ~~steps~~acts a) and b) so as to obtain the cladding and the guide core, and

d) making the grating.

~~The~~It will be appreciated that the order of the steps~~these~~
10 acts may ~~of course~~ be inverted.

The introduction of the first and/or second ionic species ~~is~~may be performed ~~advantageously~~ by an ionic exchange, or by ionic implantation.

The first and the second ionic species may be the same or
15 different.

The introduction of the first ionic species and/or the introduction of the second ionic species may be performed with the application of an electrical field.

In the case of an ionic exchange, it is desirable that the
20 substrate ~~must contain~~contains ionic species capable of being exchanged.

According to one ~~preferred~~ embodiment, the substrate is glass and contains Na^+ ions introduced beforehand, the first and the second ionic species are Ag^+ and/or K^+ ions.

25 According to one embodiment, ~~step~~act a) comprises the creation of a first mask comprising a pattern capable of obtaining the cladding, the first ionic species being introduced through this first mask and ~~step~~act b) comprises the elimination of the first mask and the creation of a second mask comprising a pattern capable
30 of obtaining the core, the second ionic species being introduced though this second mask.

The masks used in the invention are for example made of aluminium, chrome, alumina or a dielectric material.

According to a first embodiment ~~of step~~, in act c), the first
35 ionic species is buried at least partially prior to ~~step~~act b) and

the second ionic species is buried at least partially after ~~step~~act b) .

According to a second embodiment ~~of step, in act~~ c) , the first ionic species and the second ionic species are buried at the same
5 time after ~~step~~act b) .

According to a third embodiment ~~of step, in act~~ c) , the burying comprises a deposit of at least one layer of refractive index material ~~advantageously~~ lower than that of the cladding, on the surface of the substrate.

10 ~~This~~ It will be appreciated that this mode may ~~of course~~ be combined with the two previous modes.

~~Advantageously~~ In an embodiment of the invention, at least part of the burying is carried out with the application of an electrical field.

15 Generally before burying under the ~~filed~~ electrical field and/or the depositing of a layer, the process of the invention may ~~moreover~~ further comprise burying by re-diffusion in an ionic bath.

This re-diffusion ~~step~~ may be partially carried out before ~~step~~act b) to re-diffuse the ions of the first ionic species and
20 partially after ~~step~~act b) to re-diffuse the ions of the first and second ionic species. This re-diffusion ~~step~~ may also be carried out completely after ~~step~~act b) to re-diffuse the ions of the first and second ionic species.

By way of example this re-diffusion ~~is~~ may be obtained by
25 plunging the substrate in a bath containing the same ionic species as that contained beforehand in the substrate.

~~Step~~Act d) for creating the grating may be carried out independently of ~~steps~~acts a) and b) or be carried out simultaneously during ~~step~~act a) and/or ~~step~~act b) .

30 Other characteristics and advantages of the invention will become clearer from the following description, with reference to the figures of the appended drawings. This description is provided by way of illustration and is in no way restrictive.

BRIEF DESCRIPTION OF THE FIGURES

Figure 1 ~~already described, diagrammatically shows~~ is a schematic representation of a grating ~~made in an optical fibre,~~
the which includes an optical cladding comprising a variation in
5 section,

Figure 2 ~~diagrammatically~~ schematically shows a cross section
of ~~a first example of an~~ artificial cladding grating according to
an embodiment of the invention in which the section of the cladding
varies discontinuously as well as the ~~centring~~ centering of the core
10 in the cladding,

~~figure 3 diagrammatically~~ Figure 3 schematically shows ~~in a~~ cross
section ~~a second example of an~~ artificial cladding grating
according to an embodiment of the invention, in which only the
section of the cladding varies ~~and~~ continuously,

Figure 4 ~~diagrammatically~~ schematically shows ~~in a~~ cross
section ~~a third example of an~~ artificial cladding grating according
to an embodiment of the invention, in which ~~only~~ the
~~centring~~ centering of the core in the cladding varies ~~and~~
continuously,

~~figure 5 diagrammatically~~ Figure 5 schematically shows ~~in a~~ cross
section, ~~a fourth example of an~~ artificial cladding grating
according to an embodiment of the invention, in which the section
of the cladding as well as the ~~centring~~ centering of the core in
the cladding vary continuously,

~~figure 6 diagrammatically~~ Figure 6 schematically shows ~~in a~~ cross
section, ~~another example of an~~ artificial cladding grating
according to an embodiment of the invention, in which ~~also only~~
the ~~centring~~ centering of the core in the cladding varies
continuously,

~~figures~~ Figures 7a to 7d ~~diagrammatically shows in cross section~~
~~an example of~~ a manufacturing process ~~for of~~ an artificial cladding
grating according to an embodiment of the invention,

~~figures~~ Figures 8a to 8d diagrammatically shows variants of
embodiments of the mask pattern permitting a grating to be made,
35 and

~~figure~~ Figure 9 shows ~~in~~ a cross section a ~~variant of an embodiment~~ of an artificial cladding grating according to an embodiment of the invention with a grating in the cladding.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

Figure 2 ~~diagrammatically~~ schematically shows ~~in~~ a cross section a ~~first example of an~~ artificial cladding grating according to an embodiment of the invention in which the section of the cladding varies as well as the ~~centering~~ centering of the core in the cladding.

This cross section is made in a plane parallel to the surface of the substrate and containing the direction z of the propagation of the light wave in the core.

In ~~this figure~~ Figure 2, a substrate 20 ~~is shown in which includes~~ an optical cladding 3, a guide core 2 and a grating ~~19 are made.~~ 19.

The optical cladding 3 is independent from the core and surrounds part of the core in a zone of the substrate called the zone of interaction I1 comprising the grating 19.

In this embodiment, the grating is formed in the core 2. Furthermore, the cladding ~~is composed of~~ includes 4 parts respectively referenced 3a, 3b, 3c, 3d called elementary claddings which are placed in series. These elementary claddings have different sizes and centre positions at the guide core.

In this way, by modifying the size of the elementary claddings and the decentration of the core with respect to these elementary claddings, it ~~is~~ may be possible to obtain an evolved type grating.

In this embodiment, the guide core 2 and the grating 19 are uniform along the length of the interaction zone, ~~only~~. Only the form of the cladding and its position with respect to the core change. This ~~evolution~~ change is made ~~between levels thanks~~ by steps due to the differences between the elementary claddings and permits the coupling in the interaction zone to be varied.

This type of artificial cladding grating may be used for example to create filtering characteristics capable, in particular,

of creating a gain flattener ~~especially for~~, which may be used in
optical amplifiers, or a linear response filter.

In general, the principle of placing in series elementary
claddings surrounding a same guide core may be extended to the
5 principle of a cladding whose position and/or size vary uniformly
with respect to the core (and not by step/level as previously) ~~or~~
discrete as in Figure 2). Figures 3, 4 and 5 ~~below~~ are examples
of this.

These figures are ~~diagrammatical~~ cross sections in a plane
10 parallel to the surface of the substrate and containing the
direction z of propagation of the light wave in the guide core.

Figure 3 ~~represents~~ shows a substrate 20 including a cladding
31, a guide core 21 and a grating ~~41 in the substrate 20, 41,~~ formed
in the core ~~in this example~~.

15 The zone of interaction I2 corresponds to the zone of the
substrate, which simultaneously comprises the cladding, the core
and the grating.

The coupling variation along the direction z of propagation
of a light wave in the core is obtained in this example by a
20 ~~variation of varying~~ the section of the cladding section in this
direction. More precisely, the width of the cladding, ~~considered as~~
shown in the plane of the figure, is reduced by from a maximum value
at the end 31a of the cladding, to a minimum value at its other
end 31b. This variation of the cladding width may be defined along
25 the pattern of the grating according to a continuously variable
function. Consequently, the coupling wavelength is also
continuously variable (chirp effect) along the grating.

Figure 4 shows an ~~example of~~ artificial cladding grating in
which accordance with an embodiment of the invention. In Figure
30 4, the variation of the coupling is obtained by decentration of
the cladding with respect to the core, ~~with the section of the~~
cladding being constant. ~~Therefore, in this figure, there is~~ In
Figure 4, the substrate 20 includes an optical cladding 32, a guide
core 22 and a grating ~~42 in the substrate 20. 42.~~ The zone of
35 interaction formed from these three 3 elements has is identified

with the reference I3. The form of the cladding is such that its axis of symmetry 15 in the plane of ~~the figure~~ Figure 4 is ~~decentred~~ decentered with respect to the centre of the cladding, with respect to the direction z, corresponding to the axis of symmetry of the core ~~22, the~~ 22. The two ends 32a and 32b of the cladding on the other hand are progressively ~~recentered~~ recentered in this direction z (in other words at the ends of the cladding, the axis 15 and the direction z are the same) so as to reduce the coupling coefficient.

In this ~~way~~ embodiment, the artificial cladding grating ~~of the invention~~ excites a non-symmetrical profile mode; it is an apodised type grating. ~~In fact, this~~ This type of component is ~~characterised~~ characterized by a grating whose coupling efficiency slightly decreases at its ends. Consequently, there is no discontinuous phenomenon in the coupling and the spectral response of the filter has much smaller secondary lobes than in the case of a standard grating.

~~The~~ It will be appreciated that the two previous examples may easily be extrapolated by those skilled in the art to create an artificial cladding grating that is both apodised and chirped.

Figure 5 shows an ~~example of an~~ artificial cladding grating according to an embodiment of the invention, whose coupling variation is obtained by a variation varying both ~~of~~ the size and ~~of~~ the position of the cladding with respect to the core, along ~~of~~ the grating.

The substrate 20 ~~comprises~~ includes a guide core 23, an artificial cladding 33 surrounding the core in a zone of interaction I44, and a grating 43 formed in the core 23 in the zone of interaction I4. In this zone, of interaction I4, it can be seen that the cladding has a variable section, which tapers down from its end 33a towards its other end 33b. Furthermore, the axis of symmetry 16 of the cladding in the plane of ~~the figure~~ Figure 5 is not the same or parallel to the direction z of propagation in the core which is linear in the interaction zone. The axis 16 and the direction z are ~~secants~~ secant in the zone of interaction such that

the cladding has a variable decentration in the ~~said~~ zone of interaction I4 with respect to the core.

A coupling variation may also be obtained in the interaction zone by using a cladding of constant section and by varying the decentration of the core with respect to the cladding. ~~Figure 6 illustrates an example of an~~, as shown in the embodiment of this type. Figure 6.

~~This figure~~ Figure 6 is a ~~diagrammatical~~ schematic cross section in a plane ~~that is~~ parallel to the surface of the substrate that contains the direction z of propagation.

Figure 6 shows ~~the~~ a substrate 20 ~~in which~~ including a cladding 34, a guide core 24 and a grating 44 ~~are formed, that are~~ 44, which is part of the core in a zone of interaction I5 that is defined by a zone of the substrate in which the cladding surrounds the core. In this ~~example~~ embodiment, the axis of symmetry of the cladding in the plane of ~~the figure~~ Figure 6 is the same as the direction z de propagation ~~whilst~~ while the axis of the core 54 is in this specific case the same as the direction z solely in the part which does not contain the grating. This axis 54 ~~is different from~~ does not coincide with the z direction ~~z~~ in its part, which contains the grating.

~~In fact~~ Thus, the part of the core containing the grating turns away from the direction z then turns towards it until it again joins ~~it~~ the z direction, such that the guide core is ~~decentred~~ decentered with respect to the cladding, ~~this~~. This decentration ~~leading~~ leads to a coupling variation.

~~The~~ It will be appreciated that the various ~~examples~~ embodiments of artificial cladding grating ~~embodiments~~ described above may ~~of course~~ be combined with one another. Furthermore, in these various ~~examples~~ embodiments, the grating is part of the guide core ~~but of course it can~~. However, it will be appreciated that the grating may be part of the cladding and/or in the core or even in the substrate.

~~The~~ Furthermore, it will be appreciated that the component of the invention may ~~of course~~ be easily integrated into a more complex

optical architecture such as that of an optical amplifier to create, for example, a gain flattener or a linear filter. The set of elements of these architectures may or may not be created on the same substrate as the component of the invention.

5 Figures 7a to 7d ~~illustrate an example of an embodiment of~~ show a method of manufacturing an artificial cladding grating according to an embodiment of the invention, using the ion exchange technology.

10 These figures are cross sections in a plane perpendicular to the surface of the substrate and perpendicular to the direction z of propagation ~~and~~. Figures 7a-d contain an interaction zone, for example, the zone of interaction I1 containing the elementary cladding 3d of ~~figure~~ Figure 2.

15 ~~In this way, figure~~ Figure 7a shows the substrate 20 containing ions B.

A first mask 61 is made for example by photolithography on some faces of the substrate, ~~this~~. This mask comprises an opening that is determined according to the form and dimensions (width, length) of the cladding 3 that is to be produced.

20 A first ionic exchange is then carried out between ~~the A-ions A~~ and ions B ~~ions~~ contained in the substrate, in a zone of the substrate located close to the opening on the mask 61. This exchange ~~is~~ may be obtained for example by soaking the substrate fitted with the mask in a bath containing ~~A-ions A~~ and possibly by applying an electrical field between the face of the substrate on which the mask is placed and the opposite face, of the substrate. The zone of the substrate in which this ionic exchange takes place forms the cladding, which ~~as we may have previously seen may be~~ non-uniform ~~in terms of its dimensions~~, form and/or may have variable centring.

30 To bury this cladding, ~~a step for re-diffusing the A-ions A~~ may be ~~carried out~~ re-diffused with the use of, e.g., an electrical field ~~or not~~, applied as previously described. Figure 7b shows the cladding after it has been partially buried. The mask 61 is generally removed prior to this burying step.

The creation of the cladding according to the invention ~~is~~
~~therefore~~may be similar to that of a guide core but with different
dimensions.

~~The following step shown in figure 7c consists of forming~~In
5 Figure 7c, a new mask 65 is formed on the substrate for example
by photolithography, after possible cleaning of the face of the
substrate on which it is created. This mask comprises patterns
capable of allowing a guide core 19 to be made and in particular
when the core comprises a grating, the patterns of the mask 65 may
10 be adapted to the patterns of the grating to be formed.

A second ionic exchange is then carried out between ~~the B-ions~~
B of the substrate and ions C ~~ions~~ which may or may not be the same
as ~~the ions A-ions~~. This ionic exchange may be carried out as
previously described by soaking the substrate in a bath containing
15 C-ions C and by possibly applying an electrical field.

Finally, ~~figure~~Figure 7d shows the component obtained after
the core 19 has been buried, by re-diffusing the C-ions C and final
burying of the cladding, with or without the use of an electrical
field. The mask 65 is generally removed prior to this burying
20 ~~step~~act.

The conditions of the first and second ionic exchanges are
defined so as to obtain ~~the~~desired differences of refractive
indices ~~desired~~ between the substrate, the cladding and the core.
The adjustment parameters of these differences ~~are—in~~
25 ~~particular~~may be the exchange time, the temperature of the bath,
the concentration of ions of the bath and the presence or absence
of an electrical field.

By way of example of an embodiment, the substrate 20 is made
of glass containing Na^+ ions, and the mask 61 is made of
30 ~~aluminium~~aluminum.

~~The~~In an embodiment, the first ionic exchange is performed
with a bath containing Ag^+ ions at approximately 20% concentration,
at a temperature of approximately 330°C and for an exchange time
of around 5 minutes. The ions are re-diffused first in open air
35 at a temperature of approximately 330°C for 30 s, then the cladding

thus formed in the glass is partially buried. This burying is carried out by re-diffusion in a sodium bath at a temperature of approximately 260°C. The ~~length~~duration of this step depends on the desired depth of burying for the final component. Consequently,
5 for a surface component, ~~a length~~duration of approximately 3 minutes is sufficient, whereas for a buried component a duration of approximately 20 minutes ~~will~~may be chosen. In this second case, it ~~is~~may also ~~necessary~~be desirable to bury ~~under the field of the~~
10 ~~Therefore~~ In an embodiment, a current of 20 mA is applied between two sodium baths on either side of the plate at a temperature of 260°C and for 10 minutes.

The mask 65 ~~is~~may also ~~be~~be made of ~~aluminium~~aluminum.

The second ionic exchange ~~is~~may be performed with a bath also
15 containing Ag⁺ ions at approximately 20% concentration, at a temperature of approximately 330°C and for an exchange time of approximately 5 minutes, ~~the~~. The ions are first re-diffused in ~~free~~open air at a temperature of approximately 330°C and for 30s. Then partial burying ~~is~~may be carried out, of the core thus formed
20 in the glass by re-diffusion in a sodium bath at a temperature of approximately 260°C for 3 mn. For a buried component, this step is not necessary.

The final burying of the cladding and the core ~~is~~may be carried out with the use of an electrical field, with the two opposite faces
25 of the substrate in contact with two baths (in this example sodium) capable of allowing a potential difference to be applied between these two baths. For a surface component, ~~a~~one minute is sufficient, ~~and~~ in the case of a buried component a duration of around 30 minutes ~~is~~may be used, ~~the~~. The burying
30 ~~is~~may be carried out with a current of 20 mA at 240°C.

Many variants of the previously described process may be performed. In particular, the burying ~~steps~~acts of the cladding and the core may be carried out as previously described during 2 successive ~~steps~~, ~~but~~acts. It will be appreciated that they may
35 also be carried out simultaneously in certain cases, ~~the~~. The core

having a higher ionic concentration than that of the cladding, it is buried more quickly than the cladding, which also permits possible ~~centering~~centering of the core in the cladding.

The difference of concentration between the core and the cladding ~~is~~may generally be obtained either by re-diffusing in a bath the ions forming the cladding or by a difference of concentration of the ions introduced in ~~steps~~acts a) and b).

As ~~we have previously seendiscussed~~, to bury the cladding and the core, a ~~variant of the process consists of depositing on the substrate 20, a layer of material 68, shown in dotted lines on figure 7d. This material, in order to permit optical guidance, must advantageously have~~Figure 7d, may be deposited on the substrate 20, in an embodiment of the invention. It is desirable that this material has a refractive index lower than that of the cladding, in order to permit optical guidance.

~~The~~It will be appreciated that the creation of the component according to embodiments of the invention is not limited to the ion exchange technique. The component ~~of the invention~~ may ~~of course~~ be made using any techniques, which permit the refractive index of the substrate to be modified.

Furthermore, as ~~we have previously seendiscussed~~, the period, size and position of the grating ~~created~~, with respect to the core and to the cladding, are parameters that can be adapted to suit the applications.

The pattern of the grating may be defined on the mask allowing the cladding to be made and/or on the mask allowing the core to be made or even on a specific mask for solely creating the grating.

Figures 8a to 8d illustrate ~~embodiments of~~several masks M1, M2, M3, M4 permitting that may be used to create a grating ~~to be obtained in accordance with an embodiment of the invention.~~ These figures are ~~elevation~~top views of the masks and ~~only represents~~show the parts of the masks which allow the grating to be made. The white zones of the pattern of the masks correspond to the openings of the ~~latter~~masks.

~~These~~With these masks ~~permit~~, a periodic grating of period Λ ~~to~~may be obtained. ~~The masks~~ Masks M1 and M4 ~~permit~~may be used to form a grating ~~to be obtained~~ by segmentation whilst ~~the while~~ masks M2 and M3 ~~permit~~may be used to form a grating ~~to be obtained~~ by variation of the width of the patterns.

These masks may be ~~for example specific for~~ ~~creating~~specifically used to create the grating in the core and/or in the cladding or even in the substrate ~~or~~. Alternatively, part of the masks ~~permitting~~may be used to form the core and/or the cladding ~~to be obtained~~, the grating then being created at the same time as the core and/or the cladding.

Figures 2 to 6 previously described show examples of gratings formed in the guide core.

Figure 9 shows an ~~example of an embodiment of an~~ artificial cladding grating according to an embodiment of the invention whose grating is created by segmentation of the cladding 35.

In ~~this way~~, Figure 9, the grating is formed in the cladding by alternating the period Λ of zones 46 with different refractive indices from that of the rest of the cladding. ~~These zones~~ Zones 46 have a variable length, ~~considered as viewed~~ in the direction z of propagation of a light wave in the core 25. Furthermore, the width of the cladding considered in a direction perpendicular to the direction z ~~is~~may also be variable to obtain a variable coupling. The core, as in the previous examples pass through the cladding, the grating being consequently also included in the core, ~~in~~. In other words, the core also comprises zones with different refractive indices from that of the rest of the core.

The gratings may be formed by ~~any of the classic~~using conventional techniques permitting the effective index of the substrate in the core and/or in the cladding to be modified locally.

They may therefore be created during the ionic exchanges permitting the core and/or the cladding to be made or during a specific ionic exchange. They may also be obtained by etching the ~~substrate on the zone of interaction~~ on the substrate or by radiation. In particular, the gratings may be obtained by exposure

of the core and/or the cladding to a CO₂ type laser. The laser produces local heating permitting the ions to be re-diffused locally and thus include the pattern of the gratings.

By way of example, the substrate may be swept with a laser
5 beam that is, for example, amplitude modulated so as to introduce a modulation of the grating at the desired pitch.

ABSTRACT OF THE DISCLOSURE

INTEGRATED OPTICS ARTIFICIAL CLADDING GRATING WITH A COUPLING
VARIATION AND ITS REALISATION METHOD

The invention relates to an integrated optics An artificial
cladding grating ~~comprising in component~~ for use in integrated
optics, includes a substrate ~~(20)~~, an optical guide core ~~(2)~~, an
5 optical cladding ~~(3, 3a, 3b, 3c, 3d)~~ formed in the substrate, the
optical cladding being independent of the core and surrounding at
least a portion of the core in a zone of the substrate called the
zone of interaction (11) comprising a grating (19) capable of
coupling at least one, the optical guide core and the optical
10 cladding forming a zone of interaction in the substrate, and a
grating formed in the zone of interaction and constructed and
arranged to couple a guided mode of the core to at least one a
cladding mode or vice versa, the said zone of interaction
comprising a coupling variation. The zone of interaction is
15 configured to provide coupling variation between the guided mode
of the core and the cladding mode along the direction of propagation
z of the modes, and the refractive index of the cladding being
cladding is different to from the refractive index of the substrate
and lower than the refractive index of the core ~~in at least~~ at least
20 in the part of the cladding next to the core in the interaction
zone.